

Engineer Manual
No. 1110-2-3600

30 November 1987

Engineering and Design
MANAGEMENT OF WATER CONTROL SYSTEMS

Table of Contents

Subject	Paragraph	Page
CHAPTER 1 INTRODUCTION		
Purpose	1-1	1-1
Scope	1-2	1-1
References.	1-3	1-5
CHAPTER 2 OBJECTIVES AND PRINCIPLES OF WATER CONTROL MANAGEMENT		
General Considerations.	2-1	2-1
Introduction	2-1a	2-1
Water Management Goals and		
Objectives	2-1b	2-1
Single Purpose Project Regulation.	2-1c	2-2
Multipurpose Project Regulation.	2-1d	2-2
System Control	2-1e	2-3
Minimum Streamflow	2-1f	2-3
Flood Control	2-2	2-4
Historical Background.	2-2a	2-4
Flood Control Measures	2-2b	2-5
Impoundments	2-2c	2-5
Objectives for Reservoir Control		
of Floods.	2-2d	2-6
Reservoir Systems.	2-2e	2-6
Levees	2-2f	2-7
Combined Impoundment and Levee		
Systems.	2-2g	2-8
Local Protection Projects.	2-2h	2-9
Navigation.	2-3	2-11
Historical Background.	2-3a	2-11
Water for Navigation	2-3b	2-12
Water Releases for Navigation in		
Open Rivers.	2-3c	2-13
Winter Navigation.	2-3d	2-13

Subject	Paragraph	Page
Hydroelectric Power	2-4	2-13
Historical Background.	2-4a	2-13
Hydropower Evaluation.	2-4b	2-14
Types of Projects.	2-4c	2-14
Integration and Control of Federal Hydropower Systems	2-4d	2-14
Non-Federal Development of Hydropower at Corps of Engineers Projects	2-4e	2-15
Water Supply.	2-5	2-16
Irrigation	2-5a	2-16
Municipal and Industrial Water Supply Use.	2-5b	2-18
Water Quality	2-6	2-19
Management Requirements.	2-6a	2-19
Objectives and Water Quality Standards.	2-6b	2-20
Monitoring Water Quality Conditions.	2-6c	2-20
Water Quality Releases for Downstream Control	2-6d	2-21
Selective Withdrawal Facilities for Water Quality Control.	2-6e	2-21
Water Quality System Regulation.	2-6f	2-22
Fish and Wildlife.	2-7	2-23
Historical Background.	2-7a	2-23
General.	2-7b	2-23
Reservoir Fisheries.	2-7c	2-24
Tailwater Fisheries.	2-7d	2-26
Fish Migration	2-7e	2-27
Minimum Releases	2-7f	2-28
Reservoir Wildlife	2-7g	2-28
Recreation.	2-8	2-29
Historical Background.	2-8a	2-29
General Requirements	2-8b	2-29
Water Management Problems.	2-8c	2-30
Long-Term Seasonal Fluctuations of Reservoirs	2-8d	2-30
Control of Streamflows for Downstream Recreational Use.	2-8e	2-30
Erosion and Deposition Considerations	2-9	2-31
General.	2-9a	2-31
Downstream Considerations.	2-9b	2-31
Upstream Considerations	2-9c	2-32
Guidelines	2-9d	2-33
Aesthetic Considerations.	2-10	2-34

Subject	Paragraph	Page
CHAPTER 3 DEVELOPMENT OF WATER CONTROL PLANS		
Principles and Objectives	3-1	3-1
Water Control Plans	3-2	3-2
General.	3-2a	3-2
Integration of Water Quality into the Water Control Plan	3-2b	3-3
Annual Water Management Plans.	3-2c	3-4
Input from Other Water Regulation Interests.	3-2d	3-4
Analysis of Drought Periods.	3-2e	3-5
Water Control Procedures During Planning and Design.	3-2f	3-6
Development of Regulation Schedules and Water Control Diagrams.	3-3	3-6
Definitions.	3-3a	3-6
Assessments of Changed Conditions from Planning and Design Studies	3-3b	3-8
Basic Flood Control Regulation	3-3c	3-10
Integration of Basic Seasonal Flood Control Guide Curves with Other Objectives	3-3d	3-16
Testing Water Control Plans	3-4	3-20
General.	3-4a	3-20
Short-Term Flood Control Analysis.	3-4b	3-20
Long-Term Water Utilization Analysis	3-4c	3-20
Winter Navigation	3-5	3-21
Revision of Water Control Plans	3-6	3-21
General.	3-6a	3-21
Additional or New Hydrologic Data.	3-6b	3-22
Reevaluation of Water Control Requirements and Objectives.	3-6c	3-22
Updating or Modifying Water Quality Control Procedures and Plans	3-6d	3-23
Constraints on Water Control Plans	3-6e	3-24
CHAPTER 4 OPERATIONAL CHARACTERISTICS OF WATER CONTROL FACILITIES		
General Considerations.	4-1	4-1
Background Information	4-1a	4-1
Types of Facilities.	4-1b	4-1
Design Criteria.	4-1c	4-1
Terminology.	4-1d	4-2

Subject	Paragraph	Page
Spillways	4-2	4-2
General.	4-2a	4-2
Energy Dissipators	4-2b	4-3
Spillway Gates	4-2c	4-4
Spillway Capacity and Discharge Ratings.	4-2d	4-5
Operation of Spillway Gates.	4-2e	4-6
Outlet Works.	4-3	4-6
Functional Requirements.	4-3a	4-6
Sluices for Concrete Dams.	4-3b	4-7
Gate Passageways	4-3c	4-7
Control Works.	4-3d	4-7
Operation of the Control Works	4-3e	4-8
Discharges	4-3f	4-8
Outlet Facilities for Embankment Dams	4-3g	4-8
Low-Flow Facilities.	4-3h	4-9
Selective Withdrawal Systems	4-3i	4-9
Energy Dissipation	4-3j	4-10
Summary.	4-3k	4-10
Flood Control Operation	4-4	4-10
Project Outflows	4-4a	4-10
Controlling and Monitoring Outlet and Spillway Gate Regulation	4-4b	4-11
Combined Use of Outlet Works and Spillways.	4-4c	4-11
Free-Flow Operation of Gated Spillways which Control Large Natural Lakes.	4-4d	4-12
Induced Surcharge Storage	4-5	4-13
General Principles.	4-5a	4-13
Surcharge Storage for Free-Flow Ungated Spillways	4-5b	4-13
Development of Induced Surcharge Envelope Curves.	4-5c	4-14
Development of Spillway Gate Regulation Schedules	4-5d	4-17
Testing Spillway Regulation Schedule	4-5e	4-19
Methods of Operation	4-5f	4-19
Effect on Spillway Gate Design	4-5g	4-22
Outlet Works for Water Supply	4-6	4-22
General Considerations	4-6a	4-22
Special Requirements and Problems.	4-6b	4-23

Subject	Paragraph	Page
Diversion and Bypass Structures	4-7	4-23
Project Purposes and Types	4-7a	4-23
Regulation Procedures and Schedules	4-7b	4-24
Hurricane or Tidal Barriers	4-8	4-24
Interior Flood Control Facilities	4-9	4-24
General	4-9a	4-24
Operational Criteria	4-9b	4-25
Legal Requirements	4-9c	4-25
Hydroelectric Power Facilities	4-10	4-25
General	4-10a	4-25
Engineering Manual on Hydropower (EM 1110-2-1701)	4-10b	4-26
Major Hydroelectric Facilities	4-10c	4-26
Plant and Unit Control Systems	4-10d	4-26
Plant and Unit Operation	4-10e	4-27
Integration into Regional Power System	4-10f	4-27
Control Equipment	4-10g	4-28
Special Operating Problems	4-10h	4-28
Fish Passage Facilities and Use of Water Control Facilities For Fishery Enhancement	4-11	4-33
General Considerations	4-11a	4-33
Fish Passage Facilities	4-11b	4-34
Fish Attraction Water	4-11c	4-34
Fingerling Fish Bypass Facilities	4-11d	4-35
Increased Streamflows to Aid Passage of Downstream Migrants	4-11e	4-35
Fish Hatcheries	4-11f	4-36
Control of Dissolved Gas Supersaturation	4-11g	4-36
Coordination of Regulation for Fishery	4-11h	4-37
 CHAPTER 5 WATER CONTROL DATA SYSTEMS		
General	5-1	5-1
Purpose	5-1a	5-1
Basic Requirements	5-1b	5-1
Master Plans	5-1c	5-2
Data Observation and Storage at Field Sites	5-2	5-3
General	5-2a	5-3
Hydrometeorological Data	5-2b	5-3
Project Data	5-2c	5-4
Water Quality Data	5-2d	5-5

Subject	Paragraph	Page
Manual Data Observation.	5-2e	5-5
Automated Data Observation and Storage.	5-2f	5-6
Installation and Maintenance of Data Collection Equipment	5-2g	5-6
Data Transmission	5-3	5-7
General.	5-3a	5-7
Manual Data Transmission Systems . .	5-3b	5-8
Semi-Automatic Data Transmission Systems.	5-3c	5-8
Automatic Data Transmission Systems.	5-3d	5-9
Decoding and Validating Transmitted Data.	5-4	5-14
Water Control Data Management		
and Processing.	5-5	5-15
Data Storage and Retrieval	5-5a	5-15
Water Control Database Management. .	5-5b	5-15
Data Interpretation and Displays . .	5-5c	5-15
Data Processing.	5-5d	5-15
Coordination of Data Collection and Exchange.	5-6	5-16
Interagency Cooperation.	5-6a	5-16
Operational Management of Cooperative Data Systems	5-6b	5-19
Data Exchange.	5-6c	5-19
 CHAPTER 6 WATER CONTROL ANALYSIS TECHNIQUES		
General Considerations.	6-1	6-1
Importance of Technical Evaluations.	6-1a	6-1
Hydrologic Analysis - Historical Data	6-1b	6-1
Computer Utilization for Water Management.	6-2	6-2
Basic Principles	6-2a	6-2
Concepts in Computer Utilization . .	6-2b	6-3
Types of Models.	6-2c	6-3
Meteorological Forecasts Used in Water Control Management.	6-3	6-4
General.	6-3a	6-4
Short-Term Weather Forecasts	6-3b	6-5
Long-Range Weather Forecasts	6-3c	6-8
Simplified Manual Methods for Analyzing River Response.	6-4	6-8
Backup Procedures.	6-4a	6-8
Graphical Runoff Relationships . . .	6-4b	6-8

Subject	Paragraph	Page
Long-Range Predictions of Streamflow. . .	6-5	6-9
General.	6-5a	6-9
Statistical Procedures for Forecasting Seasonal Snowmelt Runoff Volume.	6-5b	6-10
Use of Deterministic Hydrologic Models for Long-Term Streamflow Forecasts.	6-5c	6-11
Long-Range Analysis of Project Regulation.	6-6	6-12
General.	6-6a	6-12
Analytical Techniques.	6-6b	6-12
Basic Data and Types of Analyses . .	6-6c	6-12
Utilizing Results of Long-Range Analysis	6-6d	6-13
Water Quality Forecasting	6-7	6-14
General.	6-7a	6-14
Analytical Techniques.	6-7b	6-14
Forecasts.	6-7c	6-14
Special Hydrologic Analyses	6-8	6-15
General.	6-8a	6-15
Unsteady Flow Determinations in Major Rivers	6-8b	6-16
Effects of Sedimentation in Rivers and Reservoirs	6-8c	6-16
Effects of Ice in Rivers and Reservoirs	6-8d	6-17
Reservoir Evaporation.	6-8e	6-18
Determination of Effect of Bank Storage on Reservoir Capacity. . .	6-8f	6-18
Effect of Wind Setup on Water Levels in Reservoirs, Lakes, and Tidal Estuaries.	6-8g	6-18
CHAPTER 7 REAL TIME MANAGEMENT OF WATER CONTROL PROJECTS		
Basic Considerations.	7-1	7-1
Integration of Generalized Operating Criteria, Real-Time System Analysis, and Project Scheduling	7-1a	7-1
Input from Others.	7-1b	7-2
Appraisal of Current Project Regulation.	7-2	7-3

Subject	Paragraph	Page
Performing System Analyses for Project Scheduling.	7-3	7-3
Analytical Requirements for Scheduling Water Regulation at Projects	7-3a	7-3
Preparing Model Input Data	7-3b	7-4
Analysis of Results.	7-3c	7-5
Water Control Decisions and Project Scheduling.	7-4	7-5
Need for Judgmental Determinations in Project Scheduling.	7-4a	7-5
Coordinating Water Control Decisions with Others.	7-4b	7-6
Project Schedules and Operating Instructions	7-4c	7-7
Water Quality Aspects of Water Control Decisions	7-4d	7-8
Disseminating Regulation Schedules.	7-5	7-8
Corps of Engineers Projects.	7-5a	7-8
Non-Corps Projects	7-5b	7-9
Distributing Schedules to Other Agencies	7-5c	7-9
Distributing Schedules to General Public	7-5d	7-9
Water Management Activities During Flood Events	7-6	7-9
Importance of Water Management Activities	7-6a	7-9
Coordination of Corps Activities	7-6b	7-10
Monitoring and Reanalyzing River and Reservoir Conditions During Floods.	7-6c	7-12
Adjusting Reservoir Regulation Schedules.	7-6d	7-12
Drought Management Plans.	7-7	7-12
Coordinating Forecasts of Streamflow and River Levels.	7-8	7-13
General.	7-8a	7-13
Basin-Wide Forecasting Services.	7-8b	7-14

Subject	Paragraph	Page
CHAPTER 8 ADMINISTRATIVE AND COORDINATION REQUIREMENTS FOR WATER CONTROL MANAGEMENT		
Administration of Water Control		
Management Activities	8-1	8-1
Organization	8-1a	8-1
Functions.	8-1b	8-1
Staffing	8-1c	8-2
Role of Project Operators.	8-1d	8-2
Training	8-1e	8-3
Briefing Room Facilities.	8-2	8-4
General.	8-2a	8-4
Purpose.	8-2b	8-4
Design	8-2c	8-4
Utilization.	8-2d	8-6
Administration of Water Management		
Contracts	8-3	8-7
Data Collection.	8-3a	8-7
Water Supply Contracts	8-3b	8-8
Water Quality Data Collection.	8-3c	8-8
Hydropower Contracts	8-3d	8-8
Interagency Coordination and Agreements	8-4	8-8
Types of Coordinating Groups	8-4a	8-8
Types of Water Management Agreements	8-4b	8-10
Coordinating with Operating Entities and Other Public and Private Water Use Organizations.	8-4c	8-11
Water Management Reports on Prevailing Conditions.		
General.	8-5	8-15
Project Operators Reports	8-5a	8-15
Water Control Morning Reports.	8-5b	8-16
Special Advisories	8-5c	8-16
Discharge Data	8-5d	8-16
Flood Damages.	8-5e	8-17
Reports for the Media.	8-5f	8-17
Documents, Reports and Records.	8-5g	8-17
Water Control Documents.	8-6	8-17
Annual Operating Plans and Other River Basin Committee Reports.	8-6a	8-17
Annual Report on Water Control Management Activities.	8-6b	8-17
Reports on the Water Control Data System (WCDS) Master Plan.	8-6c	8-18
Annual Report on the Cooperative Stream Gaging Program.	8-6d	8-18
	8-6e	8-18

Subject	Paragraph	Page
Annual Billing for the Cooperative Reporting Network	8-6f	8-18
Annual Budget Request	8-6g	8-18
Summary of Runoff Potential	8-6h	8-19
Post Flood Reports	8-6i	8-19
Water Data Records	8-6j	8-19
Federal Register	8-6k	8-19
Annual Report on Project Benefits	8-6l	8-20
Annual Water Quality Report	8-6m	8-20
Periodic Inspections and Reports	8-6n	8-21
 CHAPTER 9 PREPARATION OF WATER CONTROL DOCUMENTS		
Basic Documents	9-1	9-1
Types of Documents	9-1a	9-1
Types of Projects	9-1b	9-1
Scope of Documentation	9-1c	9-2
Standing Instructions to Project Operators for Water Control	9-2	9-2
Water Control Plans	9-3	9-3
General	9-3a	9-3
Interim Water Control Plan During Construction	9-3b	9-3
Preliminary Water Control Plans	9-3c	9-4
Final Water Control Plans	9-3d	9-4
Water Control Manuals	9-4	9-5
Water Control Manuals for Individual Projects	9-4a	9-5
Master Water Control Manuals	9-4b	9-6
Initial Reservoir Filling Plans and Flood Emergency Plans	9-4c	9-6
Format of Water Control Documents	9-5	9-9
General	9-5a	9-9
Tables, Graphs, and Plates	9-5b	9-9
Use of the Terms "Regulation" and "Operation"	9-5c	9-9
Document Identity	9-5d	9-9
Coordination of Water Control Documents	9-6	9-9
General	9-6a	9-9
Coordination	9-6b	9-9
Water Management Agreements	9-6c	9-10
Exhibits		9-11
A. Format for Standing Instructions to the Project Operator for Water Control		9-11
B. Format for Water Control Plan		9-15

Subject	Paragraph	Page
<hr/>		
APPENDIX A	BIBLIOGRAPHY	
	Preface	A-1
	Specific References	A-2
	General References	A-4
APPENDIX B	INDEX	