FINANCIAL CAPABILITY GUIDE FOR NON-FEDERAL SPONSORS

THIS IS A GENERAL GUIDE FOR USE BY A NON-FEDERAL SPONSOR TO ASSESS ITS FINANCIAL CAPABILITY TO MEET ITS OBLIGATIONS IN A TIMELY MANNER. DO NOT ATTACH THE GUIDE TO THE SELF-CERTIFICATION STATEMENT. THE ITEMS BELOW ARE NOT INTENDED TO BE AN ALL-INCLUSIVE LIST OF CONSIDERATIONS NOR DO THEY CONSTITUTE NOTICE OF U.S. GOVERNMENT STATUTES, REGULATIONS OR POLICIES FOR IMPLEMENTING SPECIFIC PROGRAMS OR PROJECTS.

- Do you have in place (or will you have in place by the date the agreement is expected to be executed) the necessary financing mechanism(s) and sources to ensure that ample funds will be available to satisfy your financial obligations for the project? For example:

  Appropriations from Existing Revenues
  (1) *Have appropriations been approved and made available?*
  (2) *Will conditions specified in the State or local appropriations measure be met?*
  (3) *Are there restrictions on the purposes or uses of appropriated funds?*
  (4) *Do any appropriations expire after a specific period of time?*

  State & Local Taxes and General Obligation & Revenue Bonds
  (1) *Will a referendum be required to levy taxes or sell bonds?*
  (2) *Is State approval needed to levy taxes or sell bonds?*
  (3) *Will the tax revenues or bond proceeds be used for their stated purposes?*
  (4) *Will sufficient funds be available on a timely basis to coincide with the funding schedule for the project?*

  Grants and Loans
  (1) *Has an application for a grant or loan funds been approved?*
  (2) *Will the stated conditions of the grant or loan be met?*
  (3) *Are there restrictions on the use of funds from the grant or loan?*
  (4) *Does the grant or loan expire after a specific period of time?*

- Do you have the financial capability to provide (or have provided on your behalf) lands, easements, rights-of-way, relocations, and, if applicable, improvements on lands, easements, or rights-of-way that are required for disposal of dredged or excavated material?

- Do you have the financial capability to perform (or have performed on your behalf) operation, maintenance, rehabilitation, repair and replacement of the project?

- If you intend to use funds provided by a Federal agency (other than the Department of the Army), or any non-Federal matching share therefor, to meet any of your financial obligations for the project, do you have written verification from such Federal agency that the expenditure of Federal funds for such purpose is expressly authorized by Federal law?