

CAP PPA CHECKLIST

I. BASIC INFORMATION:

- a. Name of Project/Location: _____
- b. Authority: ___ Section 14 ___ Section 103 ___ Section 206
 ___ Section 107 ___ Section 111 ___ Section 1135
 ___ Section 205 ___ Section 208
- c. Non-Federal Sponsor(s): _____
- d. Scheduled date for execution of the PPA: _____
- e. Scheduled Advertisement Date: _____
- f. Scheduled Award Date: _____
- g. Scheduled Project Completion Date: _____

II. PROJECT DOCUMENTS:

- a. Title/Date/Approving Authority of Project Report Supporting PPA: _____
- b. Project Management Plan Approval Date: _____
- c. Estimated Implementation Cost: \$ _____
- d. Date Cost Estimate Prepared and Price Level: _____
- e. Date of Latest Economic Analysis: _____
- f. Current Economics: BCR _____ @ _____ % FY _____

III. PROJECT SPECIFIC: ANSWER QUESTION(S) ADDRESSING SPECIFIC AUTHORITY FOR PROJECT.

a. Section 103:

Is a separable recreation feature included in the project? ___ Yes ___ No

b. Section 107:

Is material from the navigation channel being placed on a beach? ___ Yes ___ No
If Yes, is disposal on the beach the least cost alternative? ___ Yes ___ No
If No, does the PPA reflect 50/50 cost sharing of the additional cost for disposal?
___ Yes ___ No If No, explain.

c. Section 111:

If the Federal share of the implementation costs, including periodic nourishment, exceed \$5,000,000, has specific Congressional authority been received to proceed with the project? ___ Yes ___ No ___ N/A

d. Section 205:

Is a separable recreation feature included in the project? ___ Yes ___ No

e. Section 208:

Is a separable recreation feature included in the project? ___ Yes ___ No

f. Section 206:

Is a separable recreation feature included in the project? ___ Yes ___ No

e. Section 1135:

Is a separable recreation feature included in the project? ___ Yes ___ No

IV. FUNDING HISTORY

a. Allocations to Date:

	Federal	Non-Federal
Feasibility	_____	_____
Etc.		

b. Remaining Requirements:

Plans & Specifications	_____	_____
Construction	_____	_____
Etc.		

c. Total Allocations: _____

V. COST SHARING SUMMARY:

Purpose (s)	Non- Fed Cash	Non-Fed LERRD	Total Non-Fed Share	Federal Share	Total Implementation Cost
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

Total

- a. Annual Non-Fed OMRR&R Costs, As Applicable _____
- b. Source of Non-Federal Funds: _____
- c. Source, Amount, & Date of Authorization From Granting Agency for Other Agency Funds (Attach Authorizing Letter) : _____
- d. Status of Sponsor Obtaining Funds: _____
- e. Date Sponsor signed Non-Federal Sponsor Self-Certification of Financial Capability for Agreements form: _____

VI. SPECIAL CONDITIONS

- a. Sub-agreement Required for Sponsor to Perform: ___Yes ___ No Describe the Sub-agreement and How It Relates to the Sponsor’s Requirements Under the PPA _____
- b. As Applicable, Describe the Allocation of Costs Among the Multiple Sponsors: _____
- c. As Applicable Describe Special Cost Sharing IAW Section 1156, Public Law 99-662.
- d. List and Provide Cost Estimates For Betterments to be Funded by the Sponsor: _____

VII. REVIEW BY NON-FEDERAL SPONSOR AND ITS COUNSEL

- a. Does the non-Federal sponsor concur in the PPA as submitted? ___Yes ___No
- b. Has PPA as submitted , including the Certificate of Authority, been reviewed by the non-Federal sponsor’s counsel? ___ Yes ___ No

VIII. CERTIFICATION FOR DELEGATED PPA's:

a. PROJECT PLAN

Has the Project Report (Item IIa) been approved by the Director of Civil Works or other official to which authority has been delegated? ___ Yes ___ No

If there were conditions associated with the approval, have they been resolved with the organizational level attaching the conditions? ___ Yes ___ No ___ N/A

If the project to be described in the PPA deviates from the approved project document plan, was it approved by the approving authority? ___ Yes ___ No ___ N/A

b. FUNDING

If funds were added by Congress, has guidance been furnished by HQUSACE regarding the scope of the project to be built and any limiting language to be included in the PPA? ___ Yes ___ No ___ N/A

Does the proposal comply with HQUSACE Congressional add guidance? ___ Yes ___ No ___ N/A

c. AUTHORITY

Is authority adequate to complete the project as proposed? ___ Yes ___ No

d. ENVIRONMENTAL COMPLIANCE

Have all applicable environmental requirements been met and clearances received? ___ Yes ___ No

Show actual or scheduled completion dates for environmental compliance.

Dates of Environmental Compliance : FONSI Signed ___ EIS Filed ___ ROD Signed ___
ESA Sec. 7 Concluded ___ CZM Consistency Determination ___
401 Certification ___ or 404(r) ___ 404(b) (1) ___ Sec 103 MPRSA Eval ___
Sec 106 NHPA (SHPO and/or ACHP) ___ USFWS Coord. Act Rpt. ___
Clean Air Act ___

Have the costs of compliance with the above clearances been adequately defined and included in the project cost estimate to ensure the sponsor is not required to provide unexpected funds? ___ Yes ___ No

e. FINANCING PLAN

Has the Project Manager certified that the sponsor has the funds to share in the project costs as required by the PPA? ___ Yes ___ No

f. PPA

Is the PPA in accordance with the model PPA for this type project? ___ Yes ___ No

g. DISTRICT COUNSEL REVIEW OF PPA

Has the District Counsel reviewed and approved the draft PPA for legal sufficiency?
___ Yes (Certification attached) ___ No

IX. PPA NOT DELEGATED FOR APPROVAL: PPA MUST BE SUBMITTED TO HQUSACE FOR ASA(CW) APPROVAL WHEN THERE ARE DEVIATIONS FROM THE MODEL PPA OR THE FOLLOWING APPLY:

- a. If the PPA includes any deviation from the approved Project Report, describe them and the reasons why.
- b. If there are issues/controversies that must be resolved to enable the PPA to be signed, describe how and when they will be resolved.
- c. If the project is a Congressional add and the PPA covers more than the limit of Federal appropriations, describe why and how this can be recommended.

X. AUTHENTICATION:

_____ Date: _____
Project Manager

_____ Date: _____
DDE (PM)

_____ Date: _____
District Commander